

TURNING DATA INTO [^] ACTIONABLE INTELLIGENCE®

Best-in-class, end-to-end data innovations that streamline and simplify your business.

We help you...

- + Automate and streamline account opening functions through the front, middle and back office
- + Significantly reduce error-prone manual processes across the firm
- + Substantially improve labor-intensive, manually-processed direct business
- + Increase time for revenue-generating tasks for your advisors by reducing their administrative functions
- + Reduce your internal IT management challenge with our browser-based ASP solution
- + Increase rep and advisor satisfaction with a more efficient workflow process integrated through the front, middle and back office
- + Improve your compliance coverage including 17a-3 books and records
- + Supervise activities and accounts across multiple lines of business with an automated review system
- + Access powerful business analytics and reporting with enterprise-wide data readily available to support strategic decision making
- + Manage compensation and revenue sharing, including tracking, payment and reporting
- + Improve the quality of your firm's data, including direct business, through our aggregation, normalization and scrubbing processes

...on one integrated platform that supports firm-wide operations!



Quadron Data Solutions

a Fetter Logic Company

Quadron Data Solutions currently provides solutions to more than 300 retail broker/dealers. We support broker/dealers and financial professionals with integrated, comprehensive workflow solutions, including direct business, for all your data management and client reporting needs. Our processes can also eliminate technological obstacles, bridge data gaps, and facilitate success for your business.

Optimizing organizational efficiencies through streamlined workflow

Quadron has created a simple, yet robust platform that supports firm-wide operations and processes, greatly streamlining a firm's business through the front, middle and back office and affords compliance and control over your direct business.

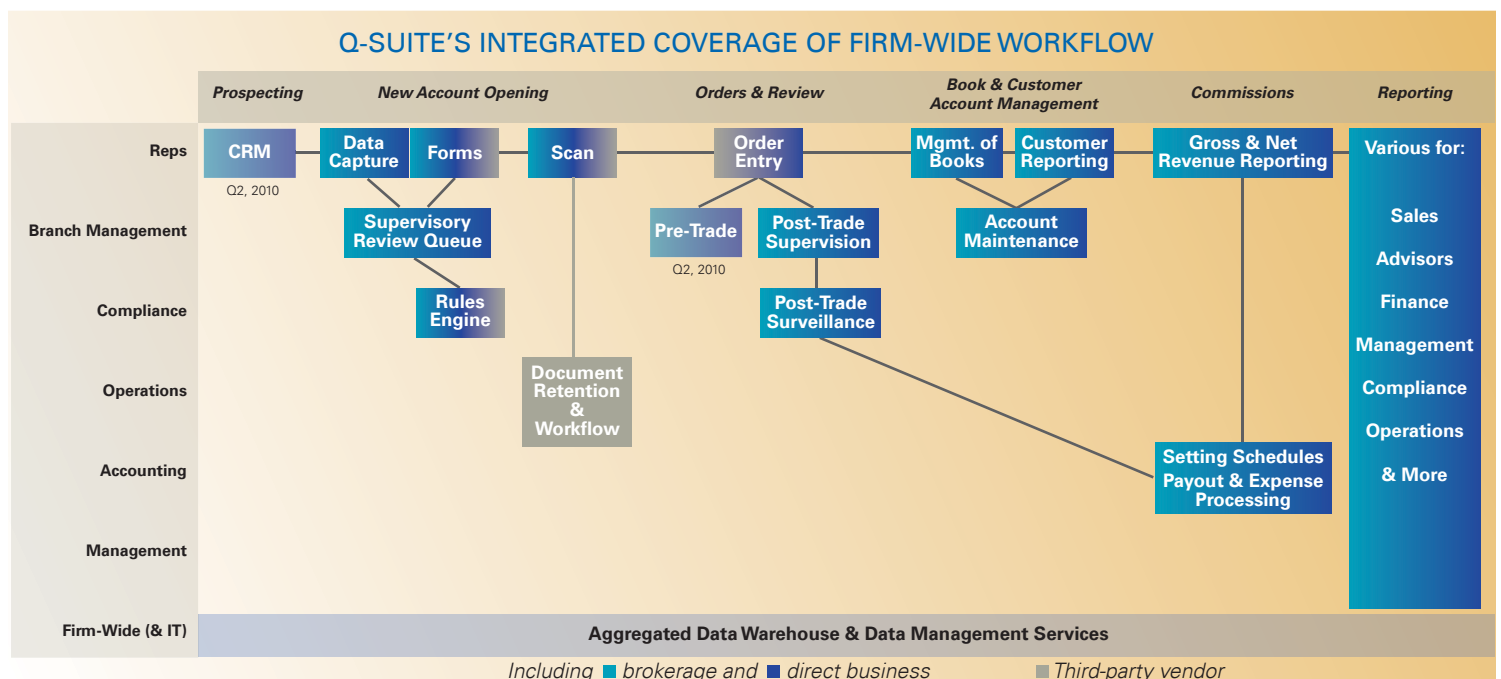
Realize streamlined processing of your firm's front, middle and back offices

What this means for you:

- + Increased operational efficiency
- + Broker and advisor satisfaction—key to recruiting and retention
- + Cost savings and time reduction through automation of manual processes
- + Meeting supervisory risk and 17a-3 books and records compliance
- + Supervisory reviews and maintenance of an audit trail
- + Ability to make strategic decisions across business lines
- + Easy access to consistent, reliable data that has been aggregated, normalized and cleansed

Q-Suite:

Our modular Q-Suite is structured to allow firms the flexibility to choose solutions that specifically address their business requirements with placement of modules that can evolve around the firm's current infrastructure as necessary.



Provide a system to your advisors that is simplified and accurate, while also presenting a consistent and integrated view of operational data for your home office.



Quadron DataPro:

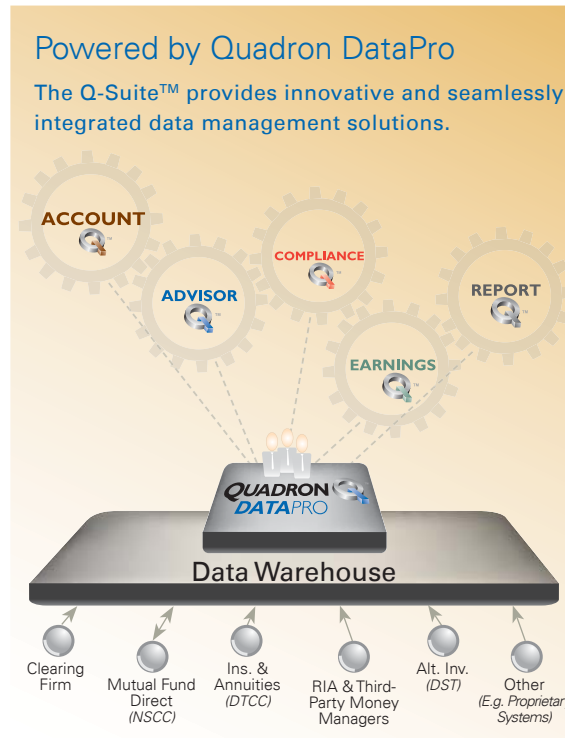
The most comprehensive data from the best sources available

Quadron DataPro™ is a fully-integrated, end-to-end independent solution for firms' enterprise-wide data management needs while providing a backbone for multiple tools and reporting solutions within a single technological framework.

To be sure, Quadron DataPro is much more than a technology platform. It goes beyond that—leveraging the best data sources available, applying sophisticated data optimization technology and processes, and incorporating the expertise and leading-edge business practices developed by individuals with years of experience in the financial services marketplace.

Quadron DataPro provides:

- + **A solution for existing inflexible IT architectural structures and disparate business silos**
- + **Economies of scale with better ROI**
- + **Less required time for administrative tasks and more time for revenue generation**
- + **Better tools for advisors to service their clients**
- + **Accurate and consistent data for risk oversight and exposure analysis**
- + **Simplified auditing of broker/dealer records**
- + **Streamlined enterprise-wide, integrated workflow functionality**
- + **Ease of mining consistent data for decision-support purposes**
- + **The highest quality data that has been aggregated, normalized and cleansed**



Powered by Quadron DataPro, The Q-Suite provides innovative and seamlessly integrated data management solutions for broker/dealers and financial professionals. These fully-unified technologies provide immediate access to high-quality data, reliably consistent output, and a broad scope of functionality for the front, middle and back offices. The result is more cost-effective client interactions, more active advisory relationships, and more efficient back-office data management operations.

The Q-Suite:

Integrated technologies designed to maximize the hybrid business model

The Q-Suite is a unique combination of products that delivers a comprehensive account, advanced data management and enterprise-wide workflow solutions for broker/dealers and financial professionals. Its broad scope addresses front-, middle-, and back-office functions with one streamlined framework offering multiple benefits.

The Q-Suite is flexible, customizable, and powerful. To ensure optimized usage of the Q-Suite, Quadron sources its applications with the highest quality of aggregated data. It aggregates all client assets including direct holdings in mutual funds, annuities, insurance and RIA data with products held on a brokerage or clearing-house platform to provide one complete picture.

AccountQ™

Intelligent account opening and management

AccountQ is a centralized client account master tool that allows financial service firms and advisors to streamline new account opening and management functions regardless of where the account will be custodied.

- + Reduce manual, error-prone and paper-intensive processes
- + Enhance principal approval process and auditing
- + Integrated with enterprise-wide solutions to perform firm-wide functions in an interactive and efficient fashion

AdvisorQ™

Powerful tool for aggregated client reporting and managing book of business

AdvisorQ helps broker/dealers and individual financial professionals boost productivity with powerful client management and productivity tools.

- + Aggregated client account reporting across a wide variety of products including traditional brokerage, mutual fund direct, insurance, third-party/RIA accounts and alternative investments
- + Automated data collection, scrubbing and normalization, facilitates accurate and useful tools for managing book of business
- + Comprehensive platform allows broker/dealers to recruit and retain investment professionals with a system that can be easily integrated with back-office systems

ComplianceQ™

Streamlined business supervision, compliance monitoring and reporting

ComplianceQ delivers an integrated, comprehensive solution set meeting the supervision and oversight needs of all on- and off-platform business through the life cycle of an investor account.

- + Aggregated trade blotters covering on- and off-platform business with embedded productivity tools, and online approval and note creation capabilities
- + Detect problematic trade account and advisor conditions with the parameter-driven rule engine to identify and isolate potential areas of risk
- + Integrated with the other Q-Suite products to cover all your oversight needs from new account approval to ongoing 17a-3 books and records compliance

EarningsQ™

Accurate tracking and payment of complex compensation and revenue structures

EarningsQ is a dynamic compensation system that automates and streamlines the complex demands of today's financial services providers across brokerage, managed fee, hybrid and insurance business lines.

- + Unlimited, flexible and dynamic compensation schedules place the full control for managing all aspects of compensation processing in the administrator's hands
- + Dually-licensed personnel receive aggregated compensation reports, while each separate business entity or business line receives the data necessary to close their books.
- + With our unique services we offer you the benefits of NSCC transaction reporting and NSCC automated commission collection without the need for your firm to become an NSCC (FundSERV or IPS) member

ReportQ™

Business analytics and reporting to support strategic decision making—with no IT dependency

ReportQ empowers financial services professionals with the ability to easily mine, analyze and report on the firm's entire book of business; pinpointing the precise information needed to enhance productivity and effect key business decisions.

- + Real-time, on-demand reporting for strategic planning and decision making
- + Robust data access to enhance workflows and support daily operations
- + Unparalleled data aggregation and normalization across diverse data sources
- + Integrated charts, dashboards and drill-down capabilities allowing users to access multiple levels of information and granularity



To learn more or to schedule a free demonstration,
contact Quadron Data Solutions at
877-348-2838 or www.quadrondata.com