ACCOUNTQ™

Streamlined and unified account opening and management

AccountQ™ is an enterprise application enabling investment firms and their advisors to streamline new account related functions from data input to principal approval and account establishment with a single-user interface supporting both brokerage ***and* direct business:**

- Pre-populate client information from CRM applications
- Rules-based entry screens to guide and control advisor input
- Full workflow with imaging integration and principal and operations review and approval
- Active Laser App integration and custom forms hosting to pre-fill forms

When to consider **AccountQ**

Your firm uses manual, paper-based processes to open new accounts, particularly for direct business

Advisors are required to navigate several divergent systems and processes for opening new accounts resulting in high "not in good order" (NIGO) rates, delays, poor client service and advisor frustration

Your firm has high home office operational costs due to paper-based, redundant, and error-prone processes

Your systems for maintaining complete client records are manual, costly, disparate and/or incomplete

FEATURES	BENEFITS
Centralized and streamlined online account opening and management across products and platforms: brokerage, direct mutual funds, variable annuities and others	+ Substantially reduce or redirect unnecessary, redundant expenses while cutting end-to-end processing time + Improve advisor productivity and satisfaction
Integrate with your enterprise CRM, books and records system, or imaging and workflow systems	+ Seamless and controlled user experience through the entire on-boarding process with fully integrated workflow from inception to completion
Intuitive form emulation and application intelligence	+ Substantially improve your advisors' productivity by reducing redundant data entry, increasing accuracy and advisor satisfaction
Edit checks and required field checks configurable by reg-type and product type	+ Greatly reduce your firm's NIGO rate through single point of data entry and systematic field validations saving time and expense
Seamless principal and operations approval workflows	+ Improve timeliness, visibility, and communication throughout the approval process
Systematic audit trails	+ Maintain control around your review processes while ensuring compliance with regulatory requirements
Automatically pre-fill product applications readying them for client signature	+ Eliminate dual entry creating efficiencies for your advisors while improving accuracy
Configuration options	+ Tailor the solution to your firm's requirements without incurring timely and costly customizations
Centralized client repository	+ Automation and consolidation of new account records affording one-stop review of customer information
Integration with other front-, middle-, and back-office solutions	+ Realize streamlined processing of your firm's business—from account opening to account management and compliance surveillance
Secure Web access via a SaaS model	+ Highly secure data centers protect data and enable painless online software updates

For further information on this product or other Q-Suite applications, please contact one of our sales representatives:

877-348-2838

www.quadrondata.com

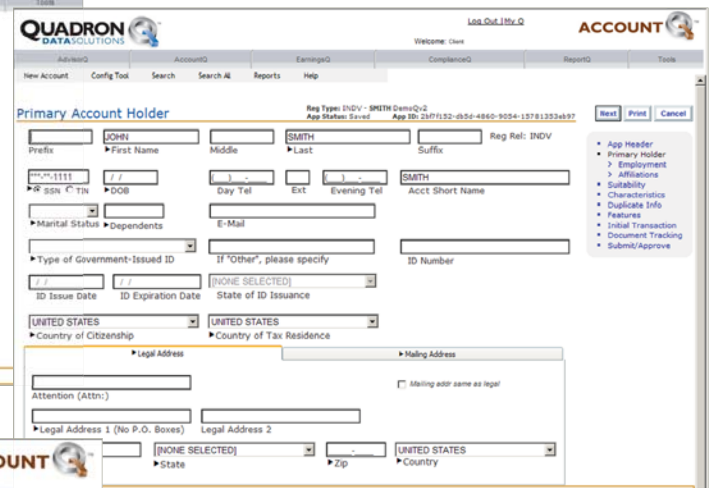
QUADRON DATASOLUTIONS™

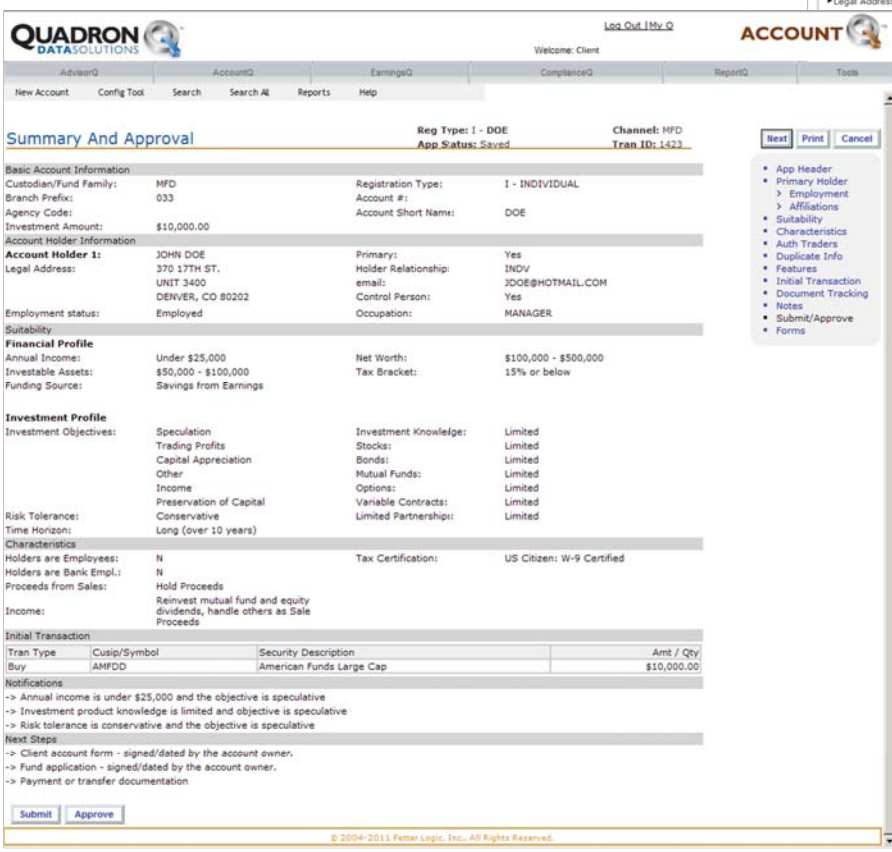
A Fetter Logic Company

TURNING DATA INTO INTELLIGENCE®

877-348-2838 | 370 17th Street, Suite 3400 | Denver, CO 80202

Presenting your advisors and home office staff with a unified user experience for opening new accounts across all lines of business, AccountQ features built-in intelligence to guide users through the entire on-boarding process with ease, yielding more productive and satisfied advisors and home office personnel.





Supporting each firm's unique requirements, AccountQ offers firms a variety of configuration options, including options for firm-specific data field values, required fields, forms and applications, principal approval workflows, and entitlements for all roles within the firm.

AccountQ's online principal approval greatly improves throughput, while reducing the paperwork volume shuffled through your firm. Approval workflow facilitates seamless communication between the field and approval teams.

Integrated features include order memorandum to capture initial investment activity, rules-based suitability tests and next-step guide for advisors.

The Q SUITE™

AccountQ™
Streamlined and unified account opening and management

AdvisorQ™
Powerful tool for aggregated client reporting and managing book of business

ComplianceQ™
Streamlined business supervision, compliance monitoring and reporting

EarningsQ™
Accurate tracking and payment of complex compensation and revenue structures

ReportQ™
Business analytics and reporting to support strategic decision making

About Fetter Logic, Inc.®

- Established 1997
- Providing solutions to over 250 retail broker-dealers with 18,000 users processing more than 30 million entries per day

Quadron Data Solutions™ is a Fetter Logic Company

www.quadrondata.com